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Attorney Docket No. 1826.1170

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Jens C. RASMUSSEN et al.

Application No.:

Group Art Unit:

Filed: June 5, 2006

Examiner:

For: POLARIZATION MODE DISPERSION COMPENSATOR AND POLARIZATION MODE
DISPERSION COMPENSATING METHOD

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 CFR § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

- 1a. ☒ Form PTO-1449.
- 1b. ☒ Copy(ies) of IDS citation(s), except for U.S. Patents and U.S. Patent Application publications.
- 1c. ☐ English language copy of a communication(s) from a foreign Patent Office or a PCT International Search Report.
- 1d. ☒ English language translation (complete, Abstract or relevant portion(s)) attached to non-English language publications as indicated on the attached Form PTO-1449.
- 1e. ☒ Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of non-English publications.
- 1f. ☐ List of Copending Applications (ATTACHMENT 1(f), hereto).
- 1g. ☐ List of Additional Submitted Documents (ATTACHMENT 1(g), hereto).

2. ☒ In accordance with 37 CFR § 1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is

(Check appropriate Items 2a, 2b, 2c and/or 2d)

- 2a. ☐ satisfied for the non-English language publication(s) cited on the enclosed "English language version of the search report or action which indicates the degree of relevance found by the foreign office". (See MPEP § 609, Minimum Requirements for an Information Disclosure Statement, Part A(3): Concise Explanation of Relevance, 8th Ed., Rev. 2)

- 2b. ☐ set forth in the application.
- 2c. ☐ satisfied for the non-English language publication(s) indicated on the attached PTO-1449 as having an English language translation (complete or relevant portion(s)) attached thereto.
- 2d. ☒ enclosed as Attachment 1(e), hereto.
3. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§ 1.97(g) and (h).

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT*(Use several sheets if necessary)*

FIRST NAMED INVENTOR

Jens C. RASMUSSEN

FILING DATE

(Concurrently)

GROUP ART UNIT

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|------------|-----------------|-------|---------------|----------------|
| | AA | 6,339,489 | 01/15/2002 | BRUYERE et al. | | | |
| | AB | 2003/0081292 | 05/01/2003 | MIKAMI et al. | | | |
| | AC | 2003/0086144 | 05/08/2003 | CHOU et al. | | | |
| | AD | 2003/0095313 | 05/22/2003 | MENIKOFF et al. | | | |
| | AE | | | | | | |
| | AF | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NO. | DATE | COUNTRY | TRANSLATION YES NO | | ABSTRACT |
|--|----|-----------------|------------|---------|-----------------------|---|----------|
| | AG | 1 170 890 A1 | 01/09/2002 | Europe | | | |
| | AH | 2001-230728 | 08/24/2001 | Japan | | | X |
| | AI | 2001-044937 | 02/16/2001 | Japan | | | X |
| | AJ | 2002-082311 | 03/22/2002 | Japan | | | X |
| | AK | 2000-507430 | 06/13/2000 | Japan | | X | |
| | AL | | | | | | |

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

| | | | TRANSLATION YES NO | |
|--|----|--|-----------------------|--|
| | AM | | | |
| | AN | | | |
| | AO | | | |

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | |
|--|----------------------|-----------------|
| EXPLANATIONS OF RELEVANCY OF REFERENCES | ATTORNEY DOCKET NO. | APPLICATION NO. |
| | 1826.1170 | 107581853 |
| | FIRST NAMED INVENTOR | |
| | Jens C. RASMUSSEN | |
| | FILING DATE | GROUP ART UNIT |
| | (Concurrently) | |

Japanese Published Patent Application Nos. 2001-230728, 2001-044937 and 2002-082311 (References AH-AJ) have English abstracts attached thereto providing a concise explanation of what is presently understood to be the relevance of each.

Japanese Published Patent Application No. 2000-507430 (Reference AK) corresponds to U.S. Patent 6,339,489 (Reference AA)